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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | Attorney Docket No. 03P19405 U.S. Application No. 10/523701 |
| INTERNATIONAL APPLN. NO. PCT/DE2004/002756 | INTERNATIONAL FILING DATE December 16, 2004 | PRIORITY DATE CLAIMED December 22, 2003 |
| TITLE OF INVENTION: PHOSPHOR AND LIGHT SOURCE COMPRISING SUCH A PHOSPHOR | | |
| APPLICANT(S) FOR DO/EO/US: Tim FIEDLER, Torsten FRIES, Frank JERMANN, Martin ZACHAU and Franz ZWASCHKA | | |
| Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) 10. <input type="checkbox"/> A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv).)) 11. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input checked="" type="checkbox"/> Copy of IDS citations. 13. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)). 14. <input type="checkbox"/> A preliminary amendment. 15. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76. 16. <input checked="" type="checkbox"/> Itemized Return Receipt Postcard 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> Power of Attorney and Statement under 37 CFR §3.73(b) <ol style="list-style-type: none"> a. <input type="checkbox"/> Newly executed Power of Attorney b. <input type="checkbox"/> A change of Power of Attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)). 22. <input checked="" type="checkbox"/> Other items or information: Form PCT/RO/101 | | |

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| U.S. APPLICATION NO. 10/523701 | | INTERNATIONAL APPLN. NO. PCT/DE2004/002756 | | ATTORNEY DOCKET NO. 03P19405 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted: | | | | | CALCULATIONS PTO USE ONLY |
| PCT FEES - NATIONAL STAGE | | | | | |
| Large Entity | | Small Entity | | Fee Description | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1631 | 300.00 | 2631 | 150.00 | Basic National Stage Fee | |
| 1632 | 500.00 | 2632 | 250.00 | National Stage Search Fee | |
| 1633 | 200.00 | 2633 | 100.00 | National Stage Examination Fee | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617) | | | | | \$0.00 |
| SIZE FEE Plant size fee each additional 50 sheets in excess of 100 Fee Code 1681/2681 | | Additional Sheets 39 - 100 = <u>0</u> = <u>0</u> X <div style="text-align: center;">50</div> | | Fee From Below \$250.00 \$0.00 | |
| CLAIMS | | | NUMBER FILED | NUMBER EXTRA | RATE |
| Independent Claims Fee Codes 1614 / 2614 | | | 1 - 3 = | | x \$200.00 |
| Total Claims Fee Codes 1615 / 2615 | | | 15 - 20 = | | x \$50.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616 | | | | | + \$360.00 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). Fee Code 1618 | | | | | \$0.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property | | | | | \$40.00 |
| TOTAL FEES ENCLOSED | | | | | \$1,040.00 |
| | | | | | Amount to be refunded: \$ |
| | | | | | Charged: \$ |
| <input checked="" type="checkbox"/> A check in the amount of \$1,040.00 to cover the above fees is attached. <input type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17. | | | | | |
| SEND ALL CORRESPONDENCE TO: OSRAM SYLVANIA 100 Endicot Street Danvers, MA 01923 Telephone (703) 521-2297 Customer No. 24,252 BC/at | | | | | |
|  SIGNATURE Benoit Castel, Reg. No. 35,041 NAME, REGISTRATION NUMBER February 3, 2005 DATE | | | | | |